

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 July 2004 (22.07.2004)

PCT

(10) International Publication Number
WO 2004/060217 A1

(51) International Patent Classification⁷: **A61F 2/24**

(21) International Application Number:
PCT/US2003/041023

(22) International Filing Date:
22 December 2003 (22.12.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
10/331,143 26 December 2002 (26.12.2002) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:
US 10/331,143 (CIP)
Filed on 26 December 2002 (26.12.2002)

(71) Applicant (for all designated States except US): **CARDIAC DIMENSIONS, INC. [US/US]; 5540 Lake Washington Boulevard, Kirkland, WA 98033 (US)**

(72) Inventors; and

(75) Inventors/Applicants (for US only): **KOWALSKY,**

Leonard [US/US]; 1403 243rd Place SE, Bothell, WA 98021 (US). NIEMINEN, Gregory, D. [US/US]; 20206-100th Avenue NE, Bothell, WA 98011 (US). BRAXTAN, Ryan, H. [US/US]; 7896 Maple Avenue SE, Snoqualmie, WA 98065 (US).

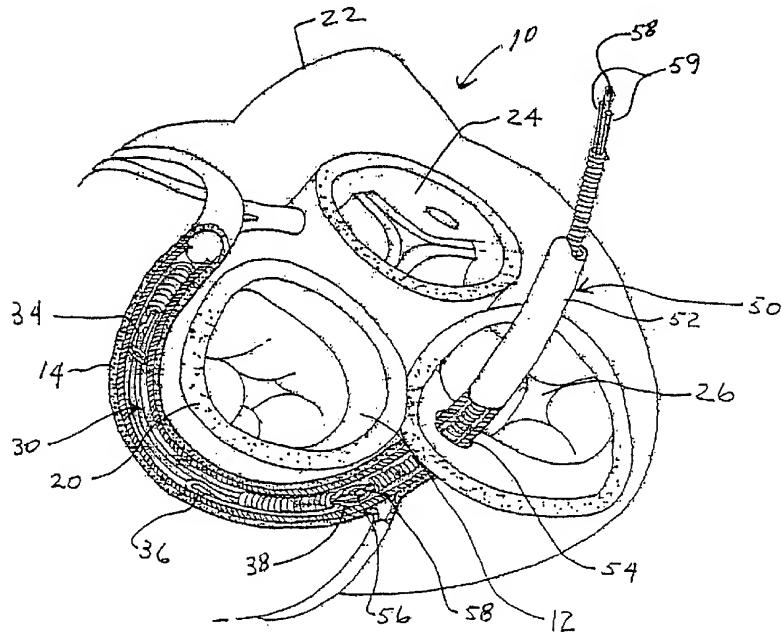
(74) Agents: **GRAY, Richard, O., Jr. et al.; Graybeal Jackson Haley LLP, Suite 350, 155-108th Avenue Northeast, Bellevue, WA 98004 (US).**

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE,

[Continued on next page]

(54) Title: SYSTEM TO EFFECT THE MITRAL VALVE ANNULUS



(57) Abstract: An assembly for effecting the condition of a mitral valve annulus includes a mitral valve therapy device, a coupling structure (56) carried by the device, a catheter (52), a second coupling structure (34, 36), and a locking member (58). To implant the device, the device is first releasably locked to a pushing member (54) by the coupling structures (56) and the locking member. When the device is positioned within the coronary sinus adjacent the mitral valve annulus and deployed, the coupling structures may be released from each other by the release of the locking member.

WO 2004/060217 A1



SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

- *with international search report*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

Systems to effect the mitral valve annulus

Field of the Invention:

- 5 [1] The present invention generally relates to a system and method to effect the mitral valve annulus of a heart. The present invention more particularly relates to a mitral valve annulus device, system, and method wherein the device is deployed and anchored in the coronary sinus of a heart adjacent the mitral valve annulus to reshape the mitral valve annulus.

10

Background of the Invention:

- [2] The human heart generally includes four valves. Of these valves, a most critical one is known as the mitral valve. The mitral valve is located in the left atrial ventricular opening between the left atrium and left ventricle. The mitral valve is intended to prevent regurgitation of blood from the left ventricle into the left atrium when the left ventricle contracts. In preventing blood regurgitation the mitral valve must be able to withstand considerable back pressure as the left ventricle contracts.

- 15 [3] The valve cusps of the mitral valve are anchored to muscular wall of the heart by delicate but strong fibrous cords in order to support the cusps during left ventricular contraction. In a healthy mitral valve, the geometry of the mitral valve ensures that the cusps overlie each other to preclude regurgitation of the blood during left ventricular contraction.

- 20 [4] The normal functioning of the mitral valve in preventing regurgitation can be impaired by dilated cardiomyopathy caused by disease or certain natural defects. For example, certain diseases may cause dilation of the mitral valve annulus. This can result in deformation of the mitral valve geometry to cause ineffective closure of the mitral valve during left ventricular contraction. Such ineffective closure results in leakage through the mitral valve and regurgitation. Diseases such as bacterial inflammations of the heart or heart failure can cause the 25 aforementioned distortion or dilation of the mitral valve annulus. Needless to say, mitral valve regurgitation must not go uncorrected.

- 30 [5] One method of repairing a mitral valve having impaired function is to completely replace the valve. This method has been found to be particularly suitable

for replacing a mitral valve when one of the cusps has been severely damaged or deformed. While the replacement of the entire valve eliminates the immediate problem associated with a dilated mitral valve annulus, presently available prosthetic heart valves do not possess the same durability as natural heart valves.

5 [6] Various other surgical procedures have been developed to correct the deformation of the mitral valve annulus and thus retain the intact natural heart valve function. These surgical techniques involve repairing the shape of the dilated or deformed valve annulus. Such techniques, generally known as annuloplasty, require surgically restricting the valve annulus to minimize dilation. Here, a prosthesis is
10 typically sutured about the base of the valve leaflets to reshape the valve annulus and restrict the movement of the valve annulus during the opening and closing of the mitral valve.

15 [7] Many different types of prostheses have been developed for use in such surgery. In general, prostheses are annular or partially annular shaped members which fit about the base of the valve annulus. The annular or partially annular shaped members may be formed from a rigid material, such as a metal, or from a flexible material.

20 [8] While the prior art methods mentioned above have been able to achieve some success in treating mitral regurgitation, they have not been without problems and potential adverse consequences. For example, these procedures require open heart surgery. Such procedures are expensive, are extremely invasive requiring considerable recovery time, and pose the concomitant mortality risks associated with such procedures. Moreover, such open heart procedures are particularly stressful on patients with a compromised cardiac condition. Given these factors, such procedures are often reserved as a last resort and hence are employed
25 late in the mitral regurgitation progression. Further, the effectiveness of such procedures is difficult to assess during the procedure and may not be known until a much later time. Hence, the ability to make adjustments to or changes in the prostheses to obtain optimum effectiveness is extremely limited. Later corrections, if
30 made at all, require still another open heart surgery.

[9] An improved therapy to treat mitral regurgitation without resorting to open heart surgery has recently been proposed. This is rendered possible by the realization that the coronary sinus of a heart is near to and at least partially encircles

the mitral valve annulus and then extends into a venous system including the great cardiac vein. As used herein, the term "coronary sinus" is meant to refer to not only the coronary sinus itself but in addition, the venous system associated with the coronary sinus including the great cardiac vein. The therapy contemplates the use
5 of a device introduced into the coronary sinus to reshape and advantageously effect the geometry of the mitral valve annulus.

[10] The device includes an elongated flexible member having a cross sectional dimension for being received within the coronary sinus of the heart. The device includes an anchor at each of its ends. When placed in the coronary sinus,
10 anchored and drawn taught, the device exerts an inward pressure on the mitral valve. The inward pressure increases the radius of curvature of the mitral valve annulus, or at least a portion of it, to promote effective valve sealing action and eliminate mitral regurgitation.

[11] The device may be implanted in the coronary sinus using only percutaneous techniques similar to the techniques used to implant cardiac leads such as pacemaker leads. One prior proposed system for implanting the device includes an elongated introducer configured for being releasably coupled to the device. The introducer is preferably flexible to permit it to advance the device into the heart and into the coronary sinus through the coronary sinus ostium. To promote
20 guidance, an elongated sheath is first advanced into the coronary sinus. Then, the device and introducer are moved through a lumen of the sheath until the device is in position within the coronary sinus. Because the device is formed of flexible material, it conforms to the curvatures of the lumen as it is advanced through the sheath. The sheath is then partially retracted. The distal end of the device is then anchored.
25 Then, the sheath is retracted proximally past the proximal end of the device. The introducer is then drawn proximally to place the device in tension, where upon the proximal anchor is set. The procedure is then completed by the release of the introducer from the device and retraction of the introducer and sheath. As a result, the device is left within the coronary sinus to exert the inward pressure on the mitral
30 valve annulus.

[12] While the foregoing represents great adjunctment in the art, further improvement is possible. For example, in the prior delivery system, described hereinbefore, release of the introducer from the device is difficult. The device and

introducer carried interlocking couplers which required an uncoupling action to be applied to the introducer to unlock the device from the introducer. This action could cause the device position to change and adversely alter its effectiveness. Still further, neither the device nor the introducer were well suited for recapturing the
5 device for removal. Recapture and removal of the device may be advisable if exchange to a device of different dimension is considered to be more appropriate for a given patient.

10 [13] Hence, there is a need for a more effective device, delivery assembly, and method to deliver a mitral valve annulus therapy device into the coronary sinus adjacent the mitral valve annulus and to release the device in a manner which leaves the device positioning unaffected. Still further, there is a need for such a device and assembly which provides recapture of the device should such recapture be required for removal of the device. The present invention addresses these needs.
15

SUMMARY OF THE INVENTION

20 [14] The invention provides an assembly for effecting the condition of a mitral valve annulus of a heart. The assembly includes a mitral valve therapy device that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus. The mitral valve therapy device has a proximal end including a coupling structure. The assembly further includes a catheter having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart, a second coupling structure that is lockable on the device coupling structure, and a locking member that locks the device coupling structure to the second coupling structure and that releases the device coupling structure from the
25 second coupling structure.

[15] The assembly may further include a pusher member that pushes the device through the catheter lumen. The pusher member has a distal end that engages the device proximal end. The pusher member may carry the second coupling structure at the distal end of the pusher member.

30 [16] The device coupling structure may comprise a hoop structure. The second coupling structure may also comprise a hoop structure. The locking member comprises a pin that extends through the hoop structures to lock the coupling structures together and that is retractable to release the hoop structures. The

catheter has a distal end and the pin is preferably long enough to extend through the distal end of the catheter. The pusher member may be an elongated coil.

[17] The device coupling structure and the second coupling structure may alternatively comprise a pair of interlocking structures and the locking member may

5 comprise a slide-lock sheath closely fitted to the interlocking structures. The interlocking structures and the slide-lock sheath may be tubular. The pusher member has a distal end that engages the device proximal end, and carries the second coupling structure. The locking member may further include a tether that extends from the slide-lock sheath to and through the catheter lumen to permit the 10 tether to pull proximally on the slide-lock sheath for releasing the interlocking structures.

[18] The assembly may further include a retractor configured to extend through the catheter lumen and grip the device coupler. This permits retraction of the device through the catheter.

15 [19] The invention further provides an assembly for effecting the condition of a mitral valve annulus of a heart comprising device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus. The device means has a proximal end including a coupling means for coupling the device means. The assembly further comprises

20 catheter means having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart, second coupling means for locking with the device coupling means, and locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

25 [20] The present invention further provides a method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart. The method includes the steps of feeding a catheter having a lumen into the coronary sinus of the heart, locking the device to a deployment member with a locking member, and directing the mitral valve therapy device through the catheter lumen

30 into the coronary sinus with the deployment member. The method further includes the steps of positioning the mitral valve therapy device in the coronary sinus with the deployment member, releasing the locking member from the device and the deployment member coupler, removing the deployment member and the locking

member from the catheter lumen, and removing the catheter from the coronary sinus.

[21] The invention still further provides a method of effecting the condition of a mitral valve annulus of a heart. The method includes the steps of feeding a catheter having a lumen into the coronary sinus of the heart, aligning a mitral valve therapy device coupler of a mitral valve therapy device to a deployment member coupler, and locking the device coupler to the deployment member coupler with a locking member. The method further includes directing the mitral valve therapy device through the catheter lumen into the coronary sinus with the deployment member, positioning the mitral valve therapy device in the coronary sinus with the deployment member, releasing the locking member from the device coupler and the deployment member coupler, removing the deployment member, the deployment member coupler and the locking member from the catheter lumen, and removing the catheter from the coronary sinus.

[22] The invention further provides an assembly for effecting the condition of a mitral valve annulus of a heart which includes a mitral valve therapy device that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the mitral valve therapy device having a proximal end including a coupling structure and a guide member that directs the mitral valve therapy device into the coronary sinus of the heart. The assembly further includes a second coupling structure that is lockable on the device coupling structure, and a locking member that locks the device coupling structure to the second coupling structure and that releases the device coupling structure from the second coupling structure.

[23] The invention further provides an assembly for effecting the condition of a mitral valve annulus of a heart. The assembly includes device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the device means having a proximal end including a coupling means for coupling the device means, guide means for directing the mitral valve therapy device into the coronary sinus of the heart, second coupling means for locking with the device coupling means, and locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

[24] The invention still further provides a method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart. The method includes the steps of feeding a guide member into the coronary sinus of the heart, locking the device to a deployment member with a locking member, directing the mitral valve therapy device along the guide member into the coronary sinus with the deployment member, positioning the mitral valve therapy device in the coronary sinus with the deployment member, releasing the locking member from the device and the deployment member coupler, and removing the deployment member, the locking member, and the guide member from the coronary sinus.

10

BRIEF DESCRIPTION OF THE DRAWINGS

[25] The features of the present invention which are believed to be novel are set forth with particularity in the appended claims. The invention, together with further aspects and advantages thereof, may best be understood by making reference to the following description taken in conjunction with the accompanying drawings, in the several figures of which like reference numerals identify identical elements, and wherein:

[26] FIG. 1 is a superior view of a human heart with the atria removed;

[27] FIG. 2 is a superior view of a human heart similar to FIG. 1 illustrating a mitral valve therapy device embodying the present invention deployed therein and which may be deployed an assembly embodying the present invention;

[28] FIG. 3 is a superior view similar to FIG. 1 with portions cut away illustrating the device of FIG. 2 being deployed by a deployment assembly embodying the present invention;

[29] FIG. 4 is a partial perspective view to an enlarged scale illustrating the coupling members and locking member of a first embodiment of the present invention;

[30] FIG. 5 is a view similar to FIG. 4 illustrating the release of the coupling structures;;

[31] FIG. 6 is a superior view similar to FIG. 1 illustrating recapture of the deployed device;

[32] FIG. 7 is a partial perspective view to an enlarged scale illustrating the recapture of the device;

[33] FIG. 8 is a superior view similar to FIG. 1 illustrating a further embodiment of the present invention;

[34] FIG. 9 is a partial perspective view of the coupling and locking arrangement of FIG. 8; and

5 [35] FIG. 10 is a partial perspective view illustrating the release of the coupling members of FIG. 8.

DETAILED DESCRIPTION OF THE INVENTION

[36] Referring now to FIG. 1, it is a superior view of a human heart 10 with the atria removed to expose the mitral valve 12, and the coronary sinus 14 of the heart 10. Also generally shown in FIG. 1 are the pulmonary valve 22, the aortic valve 24, and the tricuspid valve 26 of the heart 10.

10 [37] The mitral valve 12 includes an anterior cusp 16, a posterior cusp 18 and an annulus 20. The annulus encircles the cusps 16 and 18 and maintains their spacing to provide a complete closure during a left ventricular contraction. As is well known, the coronary sinus 14 partially encircles the mitral valve 12 adjacent to the mitral valve annulus 20. As is also known, the coronary sinus is part of the venous system of the heart and extends along the AV groove between the left atrium and the left ventricle. This places the coronary sinus essentially within the same plane as the 15 mitral valve annulus making the coronary sinus available for placement of the mitral valve therapy device of the present invention therein.

20

[38] FIG. 2 shows a mitral valve therapy device 30 embodying the present invention shown deployed in the coronary sinus 14 of the heart 10 adjacent the mitral valve annulus 20 for effecting the geometry of the mitral valve annulus. The device 25 30 takes the form of an elongated body 32 which includes a distal anchor 34 and a proximal anchor 36.

[39] The anchors 34 and 36 are shown in FIG. 2 in their deployed configuration. A more complete description of the anchors 34 and 36 may be had in copending Application Serial No. 10/142,637, filed May 8, 2002 for BODY LUMEN 30 DEVICE ANCHOR, DEVICE AND ASSEMBLY which is assigned to the assignee of the present invention and hereby incorporated herein by reference. In deploying the device 30 in the coronary sinus, the distal anchor 34 is first deployed to anchor the distal end of the device 30. In the anchoring process, the anchor 34 is expanded

outwardly to anchor the device in the coronary sinus against both bi-directional longitudinal and rotational movement. This allows the device 30 to be tightened within the coronary sinus by pulling of the device's proximal end. Then, the proximal anchor 36 is deployed. The device 30, which may be formed from Nitinol or 5 stainless steel, for example, now exerts an inward pressure on the mitral valve annulus 20 to advantageously effect its geometry.

[40] The device 30 along with its deployment system 50 is illustrated in FIG.

3. As shown, the device is in the process of being implanted in the coronary sinus 14 of the heart 10. Its proximal anchor 36 and distal anchor 34 have yet been 10 deployed. The deployment system 50 includes an elongated catheter 52, an elongated pusher 54, a coupling structural member 56 and a locking pin 58. As may be noted in FIG. 4, the proximal end of the device 30 includes a coupling loop 38. The pusher 54 is preferably an elongated coil having a center lumen 55. The coupling member 56 is formed from a cable that is provided with a loop 57. The legs 15 or ends 59 of the loop 57 extend proximally through the lumen 55 and out the proximal end of the pusher 54.

[41] The locking pin 58 also extends proximally out of the proximal end of the pusher 54. As shown in FIG. 4, the coupling loops 38 and 57 are aligned to overlap and the locking pin 58 is extended through the overlapping loops. This 20 causes the device 30 to be releasably locked to the pusher 54.

[42] In deploying the device 30, the catheter 52 is first fed into the coronary sinus 14 adjacent the mitral valve annulus 20. The device 30 and pusher 54 are then releasably locked together as shown in FIG. 4. The device is then loaded into the catheter 52. The pusher 54 follows the device into the catheter 52 and is then 25 advanced along the catheter to push the device 30 distally down the catheter to a predetermined position adjacent the mitral valve annulus 14 at the distal end of the catheter 52. Thereafter, the device is maintained in a stationary position by the pusher 54 as the catheter 52 is partially withdrawn to expose the distal anchor 34. Once the distal anchor 34 is exposed, it is deployed in a manner as fully described in 30 the aforementioned copending Application Serial No. 10/142,637. Once the distal anchor 34 is deployed, the catheter 50 is then retracted proximally of the proximal anchor 36. This exposes the proximal anchor 36. Once the proximal anchor is exposed, the pusher 54 is pulled proximally for tightening the device within the

coronary sinus and to an extent which results in the desired effect on the geometry of the mitral valve annulus 20. During this adjustment process, mitral regurgitation may be monitored and the device adjusted for optimal results. When the device 30 is in its final position within the coronary sinus 14, the proximal anchor 36 may then 5 be deployed. The beneficial effect of the device may now again be evaluated. Once the device is ready for chronic implant, the locking pin 58 may be pulled proximally from the proximal end of the pusher 54 as shown in **FIG. 5** to disengage the coupling members 38 and 56. With the pusher 54 now free from the device 30, the pusher 54, catheter 52, coupling member 56 and locking pin 58 may then be removed from 10 the heart.

[43] As can be appreciated by those skilled in the art, guide members, other than a guide catheter as shown herein, may be used to direct the device into the coronary sinus. For example, a guide wire, of the type well known in the art may alternatively be employed to guide the device there along into the coronary sinus 15 without departing from the present invention.

[44] **FIGS. 6 and 7** illustrate the manner in which the device 30 may be removed from the coronary sinus 14 if necessary in accordance with further aspects of the present invention. As may be seen in **FIGS. 6 and 7**, the device 30 may be removed from the coronary sinus 14 with a retractor assembly 60. The retractor 20 assembly includes the catheter 62, and a retractor 64 comprising an elongated coil 65 and a coupling member 66. The elongated coil 65 of the retractor 64 is essentially identical to the pusher 54 as illustrated in **FIGS. 3-5**. The coupling member 66 may be a cable which extends down the center lumen of the elongated coil 65 to form a loop structure 66 and which then returns through the center lumen 25 of the elongated coil 65 such that the free ends 69 of the cable 63 extend out the proximal end of the elongated coil 65. As also seen in **FIGS. 6 and 7**, if the device 30 is to be removed from the coronary sinus 14, the cable 63 is threaded into the elongated coil 65 to form the loop structure 66. With the retractor 64 thus formed, the retractor is then guided down the catheter 62 to the proximal end of the device 30 and more specifically to the coupling loop member 38 of the device 30. The loop 66 of the cable 63 is then wrapped about the loop coupling member 38 of the device 30 and the free ends 69 of the cable are drawn proximally to tighten the loop structure 66 about the loop coupling member 38. The retractor 64 now has a grip on

the device 30. With the device 30 now being firmly held by the retractor 64, the retractor 64 may be pulled proximally within the catheter 62 to impart proximal movement to the device 30. When the anchors 34 and 36 of the device 30 engage the distal end of the catheter 62, they will be collapsed to disengage from the coronary sinus. The device may now be removed by pulling on the retractor 64 proximally within the catheter 62 until the device is fully removed from the heart and the patient. Alternatively, the device may be drawn into the catheter. The catheter and the device may then be withdrawn together from the patient.

5 [45] FIGS. 8-10 illustrate a further embodiment of the present invention for
10 releasably locking a pusher member to a mitral valve therapy device for implanting
the mitral valve therapy device adjacent the mitral valve annulus within the coronary
sinus of the heart.

15 [46] As illustrated in FIG. 8, the mitral valve therapy device 70 is elongated
and includes a distal anchor 74 and a proximal anchor 76. The anchors are not yet
deployed. The device 70 further includes, at its proximal end, a coupling structure
78.

20 [47] For deploying the device 70, a deployment system 90 is also
illustrated. The deployment system includes a catheter 92, a pusher member 94, a
coupling structure 96 at the distal end of the pusher 94, and a locking member 98.
As will be best seen in FIG. 9, the coupling member 78 of the device 70 and the
coupling member 96 of the pusher 94 form a pair of interlocking structures. The
coupling structures 78 and 96 are tubular and the locking member 98 is also tubular.

25 [48] When it is desired to implant the device 70, the device 70 is coupled to
the pusher 98 by the interlocking structures of the coupling members 78 and 96
which are held together and in place by the locking member 98. Then, as previously
described in the previous embodiment, the device and pusher member are fed down
the catheter 92 until the device reaches a desired position within the coronary sinus
adjacent the mitral valve annulus 20. Once in this position, the device is held
stationary by the pusher member 94 while the catheter 92 is retracted to expose the
30 distal anchor 74. The distal anchor 74 may now be deployed in a manner as
described in the aforementioned copending Application Serial No. 10/142,637. With
the distal anchor 74 deployed, the catheter 92 is then retracted until it is proximal to
the proximal anchor 76. The pusher 94 may then be pulled to tighten the device

within the coronary sinus. Once the device 70 has been tightened to a desired degree, as confirmed by device effectiveness evaluation, the device 70 is ready for chronic implant.

[49] When the device 70 is to be left within the coronary sinus 14, the tether 99 is pulled to slide the locking member 98 off of the interlocking structures 78 and 96. The coupling structures of the pusher 94 may be prestressed for disengaging the coupling structure 78 of the device 70 when the locking member 98 is pulled proximal to the interlocking structures. The device 70 is now free from the pusher member 94. The pusher member 94 together with the tether, locking member, and catheter 92 may be removed from the heart. With the implant of the device 70 completed, the device 70 is left within the coronary sinus adjacent the mitral valve annulus 20 to treat the mitral valve such as by eliminating mitral regurgitation.

[50] As illustrated in FIG. 10, the coupling structure 96 is prestressed to deflect outwardly when the tubular locking member 98 is pulled proximally to disengage the device 70 from the pusher 94. Alternatively, the coupling structure 96 may be prestressed inwardly with a locking pin (not shown) extending into coupling stricture 78 to maintain the locked arrangement. Here, proximal pulling of the pin would cause the coupling structure 96 to deflect inwardly to disengage the coupling structure 78 and 96.

[51] While particular embodiments of the present invention have been shown and described, modifications may be made, and it is therefore intended in the appended claims to cover all such changes and modifications which fall within the true spirit and scope of the invention.

What is claimed:

1. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

5 a mitral valve therapy device that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the mitral valve therapy device having a proximal end including a coupling structure;

10 a catheter having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart;

 a second coupling structure that is lockable on the device coupling structure; and

15 a locking member that locks the device coupling structure to the second coupling structure and that releases the device coupling structure from the second coupling structure.

2. The assembly of claim 1 further including a pusher member that pushes the device through the catheter lumen, the pusher member having a distal end that engages the device proximal end.

20 3. The assembly of claim 2 wherein the pusher member carries the second coupling structure at the distal end of the pusher member.

4. The assembly of claim 1 wherein the device coupling structure comprises a hoop structure.

25 5. The assembly of claim 4 wherein the second coupling structure comprises a hoop structure.

6. The assembly of claim 5 wherein the locking member comprises a pin that extends through the hoop structures to lock the coupling structures together and that is retractable to release the hoop structures.

7. The assembly of claim 6 wherein the catheter has a distal end and wherein the pin is elongated and extends through the distal end of the catheter.

30 8. The assembly of claim 6 further comprising a pusher member having a lumen and that pushes the device through the catheter lumen, the pusher member having a distal end that engages the device proximal end, a proximal end, and a

lumen, and wherein the pin is elongated and extends through the pusher member lumen and out the pusher member proximal end.

9. The assembly of claim 8 wherein the pusher member is an elongated coil.

10. The assembly of claim 1 wherein the device coupling structure and the
5 second coupling structure comprise a pair of interlocking structures and wherein the locking member comprises a slide-lock sheath closely fitted to the interlocking structures.

11. The assembly of claim 10 wherein the interlocking structures are formed from tubing and wherein the slide-lock sheath is tubular.

10 12. The assembly of claim 10 further including a pusher member that pushes the device through the catheter lumen, the pusher member having a distal end that engages the device proximal end, and wherein the pusher member carries the second coupling structure at the distal end of the pusher member.

15 13. The assembly of claim 12 wherein the locking member further comprises a tether that extends from the slide-lock sheath to and through the catheter lumen to permit the tether to pull proximally on the slide-lock sheath for releasing the interlocking structures.

20 14. The assembly of claim 1 further comprising a retractor configured to extend through the catheter lumen and grip the device coupler to permit retraction of the device through the catheter.

25 15. The assembly of claim 14 further comprising a pusher member configured to extend through the catheter lumen and that pushes the device through the catheter lumen, the pusher member having a lumen and a distal end adapted to engage the device proximal end, and wherein the retractor is configured to extend down the pusher lumen to engage the device coupler.

16. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve
30 annulus, the device means having a proximal end including a coupling means for coupling the device means;

catheter means having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart;

second coupling means for locking with the device coupling means; and

locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

5 17. The assembly of claim 16 further comprising a pusher means for pushing the device means through the catheter means lumen, the pusher means having a lumen, and wherein the second coupling means and the locking means extend through the pusher means lumen.

10 18. The assembly of claim 16 wherein the device coupling means and the second coupling means comprise interlocking means for releasably locking the device coupling means and the second coupling means, and wherein the locking means includes retaining means for retaining the interlocking means in an interlocked condition.

15 19. The assembly of claim 18 wherein the retaining means is displaceable for releasing the interlocked condition of the interlocking means.

20 20. The assembly of claim 16 further comprising retracting means for engaging the device coupling means and removing the device means from the coronary sinus.

21. A method of effecting the condition of a mitral valve annulus of a heart, the method comprising the steps of:

feeding a catheter having a lumen into the coronary sinus of the heart;
aligning a mitral valve therapy device coupler of a mitral valve therapy device to a deployment member coupler;

25 locking the device coupler to the deployment member coupler with a locking member;

directing the mitral valve therapy device through the catheter lumen into the coronary sinus with the deployment member;

positioning the mitral valve therapy device in the coronary sinus with the deployment member;

30 releasing the locking member from the device coupler and the deployment member coupler;

removing the deployment member, the deployment member coupler and the locking member from the catheter lumen; and
removing the catheter from the coronary sinus.

22. The method of claim 21 comprising the additional steps of:

5 evaluating a condition of the mitral valve following the first recited removing step;

based upon results of the evaluation, feeding a device retractor through the catheter lumen;

capturing the device coupler with the retractor; and

10 removing the device from the coronary sinus through the catheter with the retractor.

23. A method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart, the method comprising the steps of:

feeding a catheter having a lumen into the coronary sinus of the heart;

15 locking the device to a deployment member with a locking member;

directing the mitral valve therapy device through the catheter lumen into the coronary sinus with the deployment member;

positioning the mitral valve therapy device in the coronary sinus with the deployment member;

20 releasing the locking member from the device and the deployment member coupler;

removing the deployment member and the locking member from the catheter lumen; and

removing the catheter from the coronary sinus.

25 24. The method of claim 23 comprising the additional steps of:

evaluating a condition of the mitral valve following the first recited removing step;

based upon results of the evaluation, feeding a device retractor through the catheter lumen;

30 capturing the device with the retractor; and

removing the device from the coronary sinus through the catheter with the retractor.

25. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

a mitral valve therapy device that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the mitral valve therapy device having a proximal end including a coupling structure;

a guide member that directs the mitral valve therapy device into the coronary sinus of the heart;

10 a second coupling structure that is lockable on the device coupling structure; and

a locking member that locks the device coupling structure to the second coupling structure and that releases the device coupling structure from the second coupling structure.

26. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the device means having a proximal end including a coupling means for coupling the device means;

20 guide means for directing the mitral valve therapy device into the coronary sinus of the heart;

second coupling means for locking with the device coupling means; and

25 locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

27. A method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart, the method comprising the steps of:

feeding a guide member into the coronary sinus of the heart;

30 locking the device to a deployment member with a locking member; directing the mitral valve therapy device along the guide member into the coronary sinus with the deployment member;

positioning the mitral valve therapy device in the coronary sinus with the deployment member;

releasing the locking member from the device and the deployment member coupler; and

- 5 removing the deployment member, the locking member, and the guide member from the coronary sinus.

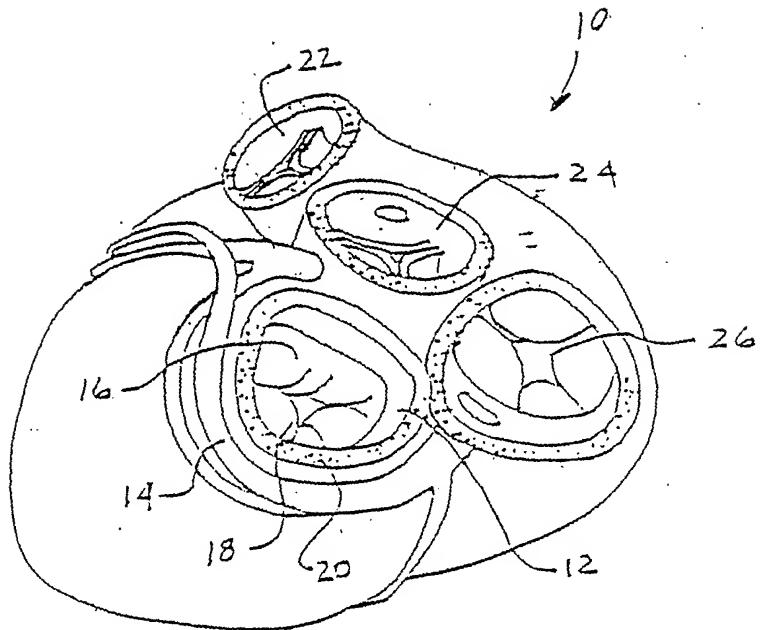


FIG. 1

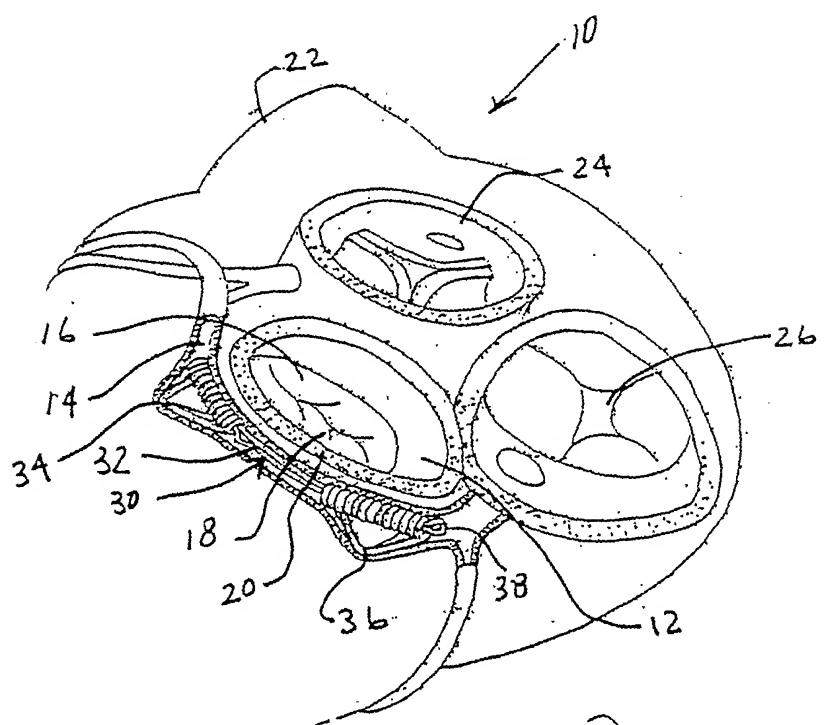


FIG. 2

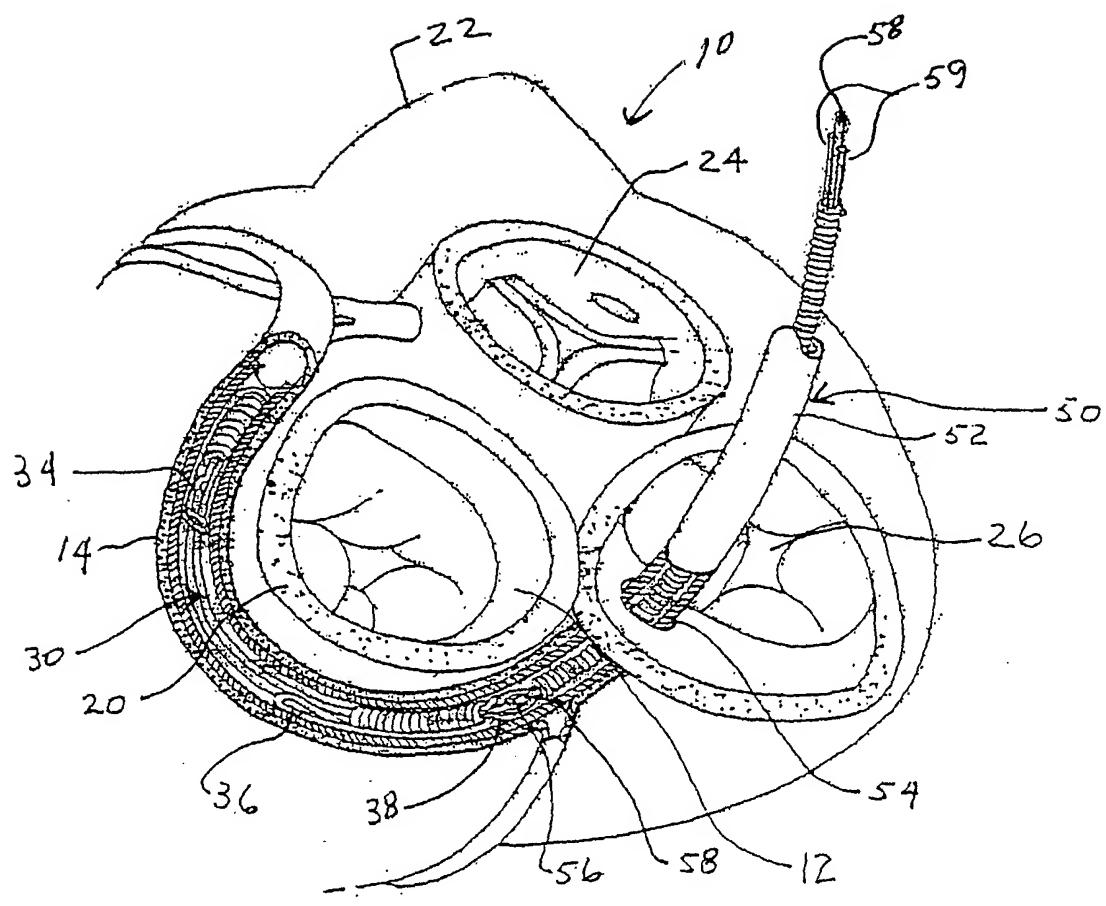


FIG. 3

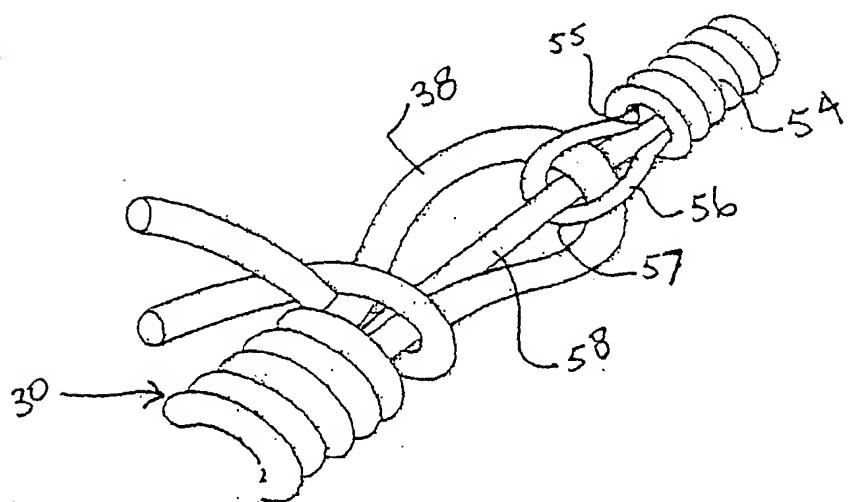
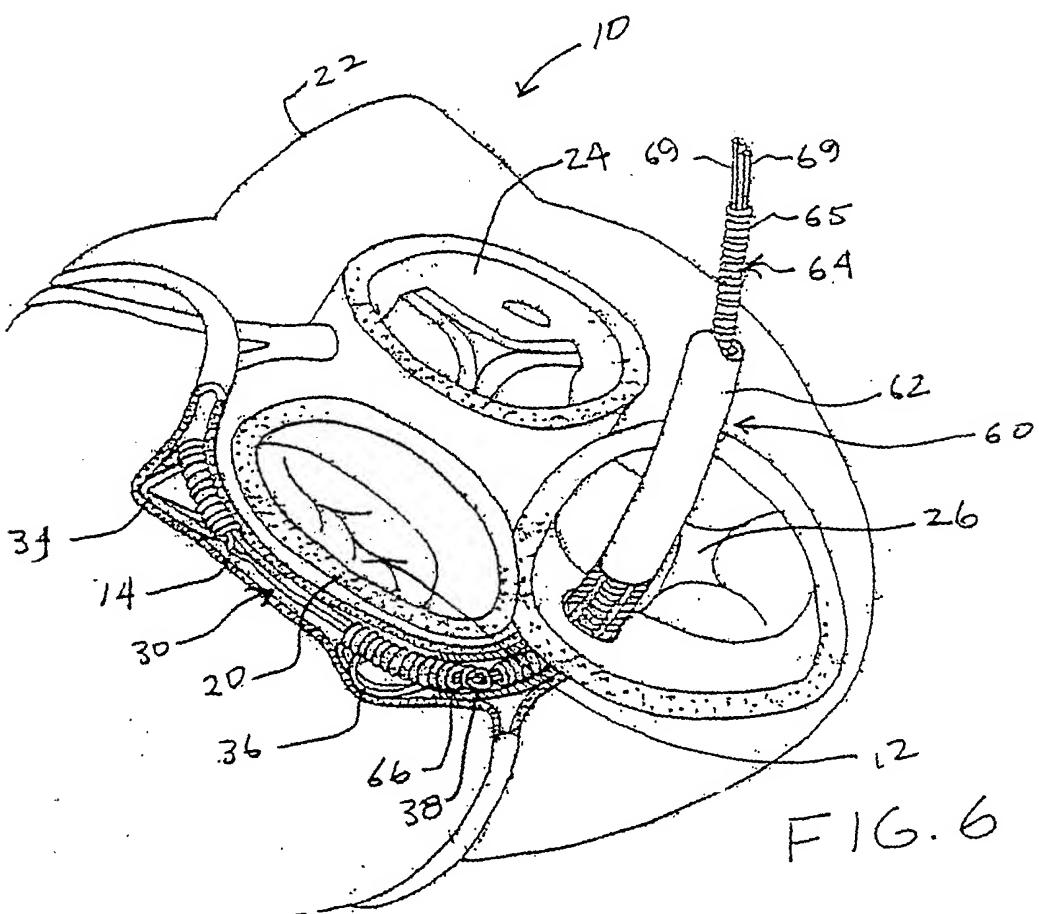
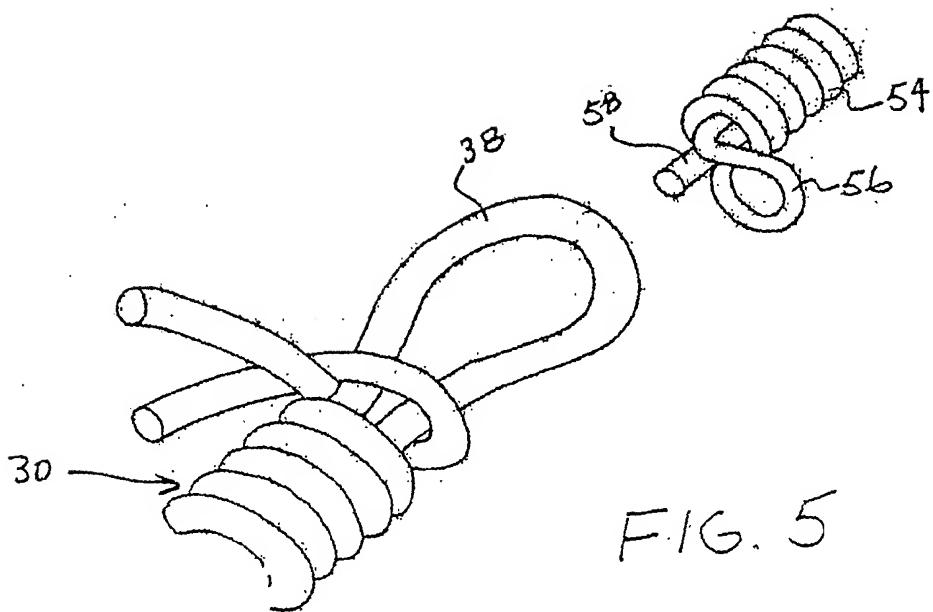
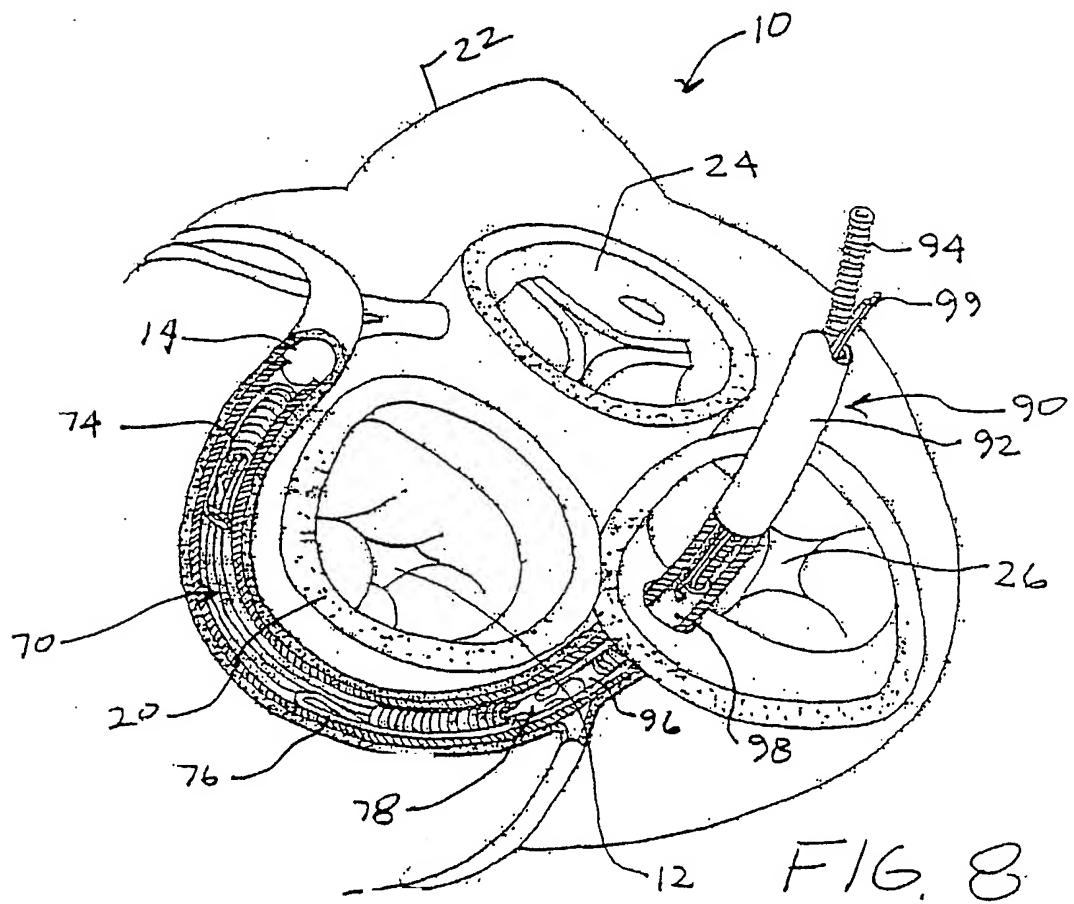
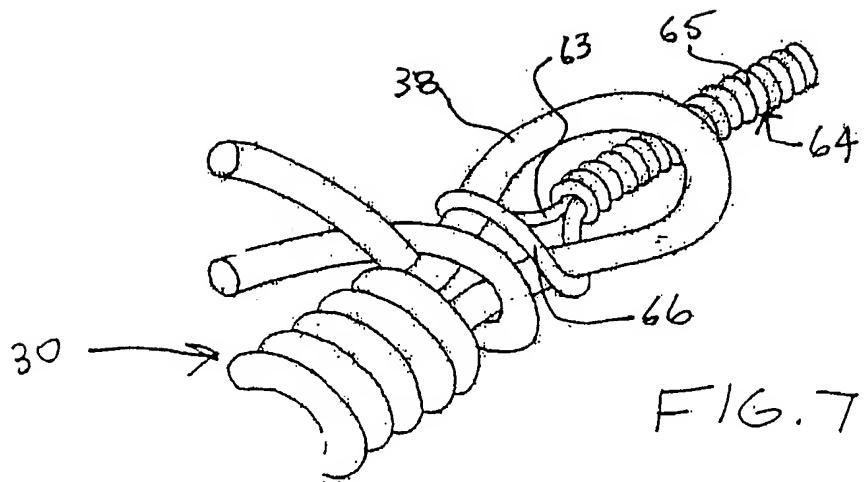


FIG. 4





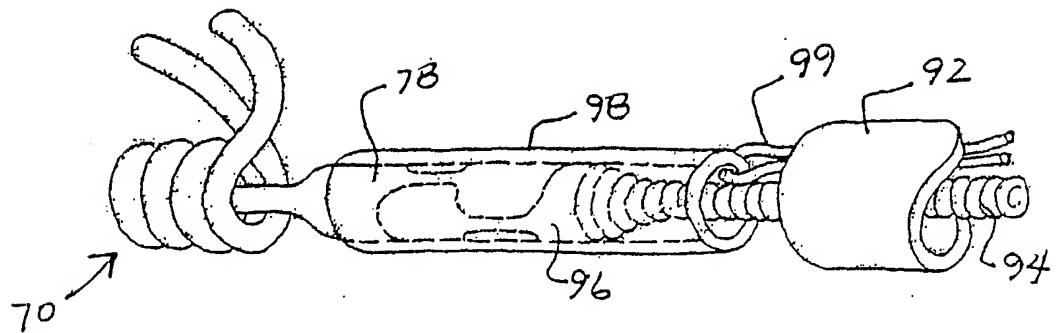


FIG. 9

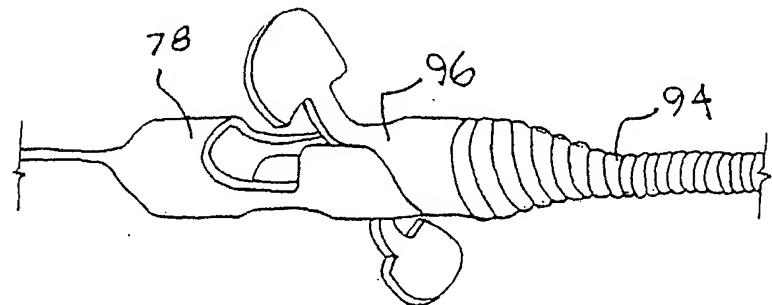


FIG. 10

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/41023

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61f 2/24
US CL : 623/2.36, 2.37, 2.38, 2.11

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
U.S. : 623/2.36, 2.37, 2.38, 2.11

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, Y	US 2003/0171776 A1 (ADAMS ET AL.) 11 September 2003 (11.09.03), See sections [0016-0050] and figures 1-9.	1-27
P, Y	US 2003/0212453 A1 (Mathis et al.) 13 November 2003 (13.11.2003), See sections [0019-0066].	1-27
P, Y	US 2003/0105520 A1 (Alferness et al.) 05 June 2003 (05.06.2003), See sections [0014-042].	1-27
P, Y	US 2003/0069636 A1 (Solem et al.) 10 April 2003 (10.04.2003), See sections [0012-0070].	1-27
Y	US 2002/0151961 A1 (Lashinski et al.) 17 October 2002 (17.10.2002), See sections [0074-0197].	1-27

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

Date of mailing of the international search report

25 May 2004 (25.05.2004)

08 JUN 2004

Name and mailing address of the ISA/US
Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
Facsimile No. (703) 305-3230

Authorized officer
Suzette J. Jackson
Telephone No. 703-308-0000

CORRECTED VERSION

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
22 July 2004 (22.07.2004)

PCT

(10) International Publication Number
WO 2004/060217 A1

(51) International Patent Classification⁷: **A61F 2/24**

(71) Applicant (*for all designated States except US*): **CARDIAC DIMENSIONS, INC.** [US/US]; 5540 Lake Washington Boulevard, Kirkland, WA 98033 (US).

(21) International Application Number: PCT/US2003/041023

(72) Inventors; and

(22) International Filing Date:

22 December 2003 (22.12.2003)

(75) Inventors/Applicants (*for US only*): **KOWALSKY, Leonard** [US/US]; 1403 243rd Place SE, Bothell, WA 98021 (US). **NIEMINEN, Gregory, D.** [US/US]; 20206-100th Avenue NE, Bothell, WA 98011 (US). **BRAXTAN, Ryan, H.** [US/US]; 7896 Maple Avenue SE, Snoqualmie, WA 98065 (US).

(25) Filing Language: English

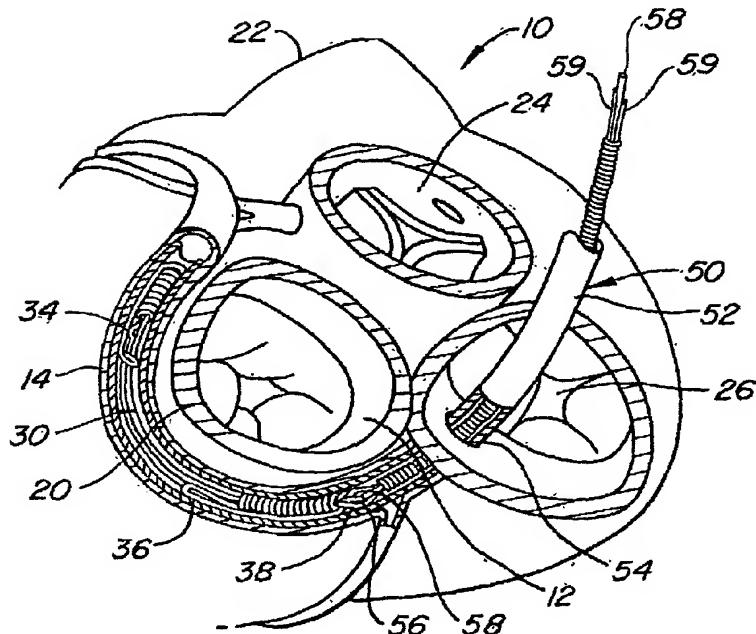
(74) Agents: **GRAY, Richard, O., Jr.** et al.; Graybeal Jackson Haley LLP, Suite 350, 155-108th Avenue Northeast, Bellevue, WA 98004 (US).

(26) Publication Language: English

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN,

[Continued on next page]

(54) Title: SYSTEM TO EFFECT THE MITRAL VALVE ANNULUS



(57) **Abstract:** An assembly for effecting the condition of a mitral valve annulus includes a mitral valve therapy device, a coupling structure (56) carried by the device, a catheter (52), a second coupling structure (34, 36), and a locking member (58). To implant the device, the device is first releasably locked to a pushing member (54) by the coupling structures (56) and the locking member. When the device is positioned within the coronary sinus adjacent the mitral valve annulus and deployed, the coupling structures may be released from each other by the release of the locking member.

WO 2004/060217 A1



MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

Published:

— *with international search report*

(48) Date of publication of this corrected version:

18 November 2004

- (84) Designated States (regional):** ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(15) Information about Correction:

see PCT Gazette No. 47/2004 of 18 November 2004, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Systems to effect the mitral valve annulus

Field of the Invention:

- 5 [1] The present invention generally relates to a system and method to effect the mitral valve annulus of a heart. The present invention more particularly relates to a mitral valve annulus device, system, and method wherein the device is deployed and anchored in the coronary sinus of a heart adjacent the mitral valve annulus to reshape the mitral valve annulus.

10

Background of the Invention:

- [2] The human heart generally includes four valves. Of these valves, a most critical one is known as the mitral valve. The mitral valve is located in the left atrial ventricular opening between the left atrium and left ventricle. The mitral valve is intended to prevent regurgitation of blood from the left ventricle into the left atrium when the left ventricle contracts. In preventing blood regurgitation the mitral valve must be able to withstand considerable back pressure as the left ventricle contracts.

- 15 [3] The valve cusps of the mitral valve are anchored to muscular wall of the heart by delicate but strong fibrous cords in order to support the cusps during left ventricular contraction. In a healthy mitral valve, the geometry of the mitral valve ensures that the cusps overlie each other to preclude regurgitation of the blood during left ventricular contraction.

- 20 [4] The normal functioning of the mitral valve in preventing regurgitation can be impaired by dilated cardiomyopathy caused by disease or certain natural defects. For example, certain diseases may cause dilation of the mitral valve annulus. This can result in deformation of the mitral valve geometry to cause ineffective closure of the mitral valve during left ventricular contraction. Such ineffective closure results in leakage through the mitral valve and regurgitation. Diseases such as bacterial inflammations of the heart or heart failure can cause the 25 aforementioned distortion or dilation of the mitral valve annulus. Needless to say, mitral valve regurgitation must not go uncorrected.

- 30 [5] One method of repairing a mitral valve having impaired function is to completely replace the valve. This method has been found to be particularly suitable

for replacing a mitral valve when one of the cusps has been severely damaged or deformed. While the replacement of the entire valve eliminates the immediate problem associated with a dilated mitral valve annulus, presently available prosthetic heart valves do not possess the same durability as natural heart valves.

5 [6] Various other surgical procedures have been developed to correct the deformation of the mitral valve annulus and thus retain the intact natural heart valve function. These surgical techniques involve repairing the shape of the dilated or deformed valve annulus. Such techniques, generally known as annuloplasty, require surgically restricting the valve annulus to minimize dilation. Here, a prosthesis is
10 typically sutured about the base of the valve leaflets to reshape the valve annulus and restrict the movement of the valve annulus during the opening and closing of the mitral valve.

15 [7] Many different types of prostheses have been developed for use in such surgery. In general, prostheses are annular or partially annular shaped members which fit about the base of the valve annulus. The annular or partially annular shaped members may be formed from a rigid material, such as a metal, or from a flexible material.

20 [8] While the prior art methods mentioned above have been able to achieve some success in treating mitral regurgitation, they have not been without problems and potential adverse consequences. For example, these procedures require open heart surgery. Such procedures are expensive, are extremely invasive requiring considerable recovery time, and pose the concomitant mortality risks associated with such procedures. Moreover, such open heart procedures are particularly stressful on patients with a compromised cardiac condition. Given these factors, such procedures are often reserved as a last resort and hence are employed
25 late in the mitral regurgitation progression. Further, the effectiveness of such procedures is difficult to assess during the procedure and may not be known until a much later time. Hence, the ability to make adjustments to or changes in the prostheses to obtain optimum effectiveness is extremely limited. Later corrections, if
30 made at all, require still another open heart surgery.

[9] An improved therapy to treat mitral regurgitation without resorting to open heart surgery has recently been proposed. This is rendered possible by the realization that the coronary sinus of a heart is near to and at least partially encircles

the mitral valve annulus and then extends into a venous system including the great cardiac vein. As used herein, the term "coronary sinus" is meant to refer to not only the coronary sinus itself but in addition, the venous system associated with the coronary sinus including the great cardiac vein. The therapy contemplates the use
5 of a device introduced into the coronary sinus to reshape and advantageously effect the geometry of the mitral valve annulus.

[10] The device includes an elongated flexible member having a cross sectional dimension for being received within the coronary sinus of the heart. The device includes an anchor at each of its ends. When placed in the coronary sinus,
10 anchored and drawn taught, the device exerts an inward pressure on the mitral valve. The inward pressure increases the radius of curvature of the mitral valve annulus, or at least a portion of it, to promote effective valve sealing action and eliminate mitral regurgitation.

[11] The device may be implanted in the coronary sinus using only percutaneous techniques similar to the techniques used to implant cardiac leads such as pacemaker leads. One prior proposed system for implanting the device includes an elongated introducer configured for being releasably coupled to the device. The introducer is preferably flexible to permit it to advance the device into the heart and into the coronary sinus through the coronary sinus ostium. To promote guidance, an elongated sheath is first advanced into the coronary sinus. Then, the device and introducer are moved through a lumen of the sheath until the device is in position within the coronary sinus. Because the device is formed of flexible material, it conforms to the curvatures of the lumen as it is advanced through the sheath. The sheath is then partially retracted. The distal end of the device is then anchored.
20 Then, the sheath is retracted proximally past the proximal end of the device. The introducer is then drawn proximally to place the device in tension, where upon the proximal anchor is set. The procedure is then completed by the release of the introducer from the device and retraction of the introducer and sheath. As a result,
25 the device is left within the coronary sinus to exert the inward pressure on the mitral valve annulus.
30

[12] While the foregoing represents great adjunctment in the art, further improvement is possible. For example, in the prior delivery system, described hereinbefore, release of the introducer from the device is difficult. The device and

introducer carried interlocking couplers which required an uncoupling action to be applied to the introducer to unlock the device from the introducer. This action could cause the device position to change and adversely alter its effectiveness. Still further, neither the device nor the introducer were well suited for recapturing the 5 device for removal. Recapture and removal of the device may be advisable if exchange to a device of different dimension is considered to be more appropriate for a given patient.

[13] Hence, there is a need for a more effective device, delivery assembly, and method to deliver a mitral valve annulus therapy device into the coronary sinus 10 adjacent the mitral valve annulus and to release the device in a manner which leaves the device positioning unaffected. Still further, there is a need for such a device and assembly which provides recapture of the device should such recapture be required for removal of the device. The present invention addresses these needs.

15

SUMMARY OF THE INVENTION

[14] The invention provides an assembly for effecting the condition of a mitral valve annulus of a heart. The assembly includes a mitral valve therapy device 20 that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus. The mitral valve therapy device has a proximal end including a coupling structure. The assembly further includes a catheter having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart, a second coupling structure that is lockable on the device coupling structure, and a locking member that locks the device coupling structure to the 25 second coupling structure and that releases the device coupling structure from the second coupling structure.

[15] The assembly may further include a pusher member that pushes the device through the catheter lumen. The pusher member has a distal end that engages the device proximal end. The pusher member may carry the second coupling structure at the distal end of the pusher member.

30 [16] The device coupling structure may comprise a hoop structure. The second coupling structure may also comprise a hoop structure. The locking member comprises a pin that extends through the hoop structures to lock the coupling structures together and that is retractable to release the hoop structures. The

catheter has a distal end and the pin is preferably long enough to extend through the distal end of the catheter. The pusher member may be an elongated coil.

[17] The device coupling structure and the second coupling structure may alternatively comprise a pair of interlocking structures and the locking member may 5 comprise a slide-lock sheath closely fitted to the interlocking structures. The interlocking structures and the slide-lock sheath may be tubular. The pusher member has a distal end that engages the device proximal end, and carries the second coupling structure. The locking member may further include a tether that extends from the slide-lock sheath to and through the catheter lumen to permit the 10 tether to pull proximally on the slide-lock sheath for releasing the interlocking structures.

[18] The assembly may further include a retractor configured to extend through the catheter lumen and grip the device coupler. This permits retraction of the device through the catheter.

[19] The invention further provides an assembly for effecting the condition 15 of a mitral valve annulus of a heart comprising device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus. The device means has a proximal end including a coupling means for coupling the device means. The assembly further comprises 20 catheter means having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart, second coupling means for locking with the device coupling means, and locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

[20] The present invention further provides a method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart. The method includes the steps of feeding a catheter having a lumen into the coronary sinus of the heart, locking the device to a deployment member with a locking member, and directing the mitral valve therapy device through the catheter lumen 30 into the coronary sinus with the deployment member. The method further includes the steps of positioning the mitral valve therapy device in the coronary sinus with the deployment member, releasing the locking member from the device and the deployment member coupler, removing the deployment member and the locking

member from the catheter lumen, and removing the catheter from the coronary sinus.

[21] The invention still further provides a method of effecting the condition of a mitral valve annulus of a heart. The method includes the steps of feeding a catheter having a lumen into the coronary sinus of the heart, aligning a mitral valve therapy device coupler of a mitral valve therapy device to a deployment member coupler, and locking the device coupler to the deployment member coupler with a locking member. The method further includes directing the mitral valve therapy device through the catheter lumen into the coronary sinus with the deployment member, positioning the mitral valve therapy device in the coronary sinus with the deployment member, releasing the locking member from the device coupler and the deployment member coupler, removing the deployment member, the deployment member coupler and the locking member from the catheter lumen, and removing the catheter from the coronary sinus.

[22] The invention further provides an assembly for effecting the condition of a mitral valve annulus of a heart which includes a mitral valve therapy device that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the mitral valve therapy device having a proximal end including a coupling structure and a guide member that directs the mitral valve therapy device into the coronary sinus of the heart. The assembly further includes a second coupling structure that is lockable on the device coupling structure, and a locking member that locks the device coupling structure to the second coupling structure and that releases the device coupling structure from the second coupling structure.

[23] The invention further provides an assembly for effecting the condition of a mitral valve annulus of a heart. The assembly includes device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the device means having a proximal end including a coupling means for coupling the device means, guide means for directing the mitral valve therapy device into the coronary sinus of the heart, second coupling means for locking with the device coupling means, and locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

[24] The invention still further provides a method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart. The method includes the steps of feeding a guide member into the coronary sinus of the heart, locking the device to a deployment member with a locking member, directing 5 the mitral valve therapy device along the guide member into the coronary sinus with the deployment member, positioning the mitral valve therapy device in the coronary sinus with the deployment member, releasing the locking member from the device and the deployment member coupler, and removing the deployment member, the locking member, and the guide member from the coronary sinus.

10

BRIEF DESCRIPTION OF THE DRAWINGS

[25] The features of the present invention which are believed to be novel are set forth with particularity in the appended claims. The invention, together with further aspects and advantages thereof, may best be understood by making 15 reference to the following description taken in conjunction with the accompanying drawings, in the several figures of which like reference numerals identify identical elements, and wherein:

[26] FIG. 1 is a superior view of a human heart with the atria removed;

[27] FIG. 2 is a superior view of a human heart similar to FIG. 1 illustrating a 20 mitral valve therapy device embodying the present invention deployed therein and which may be deployed an assembly embodying the present invention;

[28] FIG. 3 is a superior view similar to FIG. 1 with portions cut away illustrating the device of FIG. 2 being deployed by a deployment assembly embodying the present invention;

25 [29] FIG. 4 is a partial perspective view to an enlarged scale illustrating the coupling members and locking member of a first embodiment of the present invention;

[30] FIG. 5 is a view similar to FIG. 4 illustrating the release of the coupling structures;;

30 [31] FIG. 6 is a superior view similar to FIG. 1 illustrating recapture of the deployed device;

[32] FIG. 7 is a partial perspective view to an enlarged scale illustrating the recapture of the device;

- [33] FIG. 8 is a superior view similar to FIG. 1 illustrating a further embodiment of the present invention;
- [34] FIG. 9 is a partial perspective view of the coupling and locking arrangement of FIG. 8; and
- 5 [35] FIG. 10 is a partial perspective view illustrating the release of the coupling members of FIG. 8.

DETAILED DESCRIPTION OF THE INVENTION

- [36] Referring now to FIG. 1, it is a superior view of a human heart 10 with the atria removed to expose the mitral valve 12, and the coronary sinus 14 of the heart 10. Also generally shown in FIG. 1 are the pulmonary valve 22, the aortic valve 24, and the tricuspid valve 26 of the heart 10.
- [37] The mitral valve 12 includes an anterior cusp 16, a posterior cusp 18 and an annulus 20. The annulus encircles the cusps 16 and 18 and maintains their spacing to provide a complete closure during a left ventricular contraction. As is well known, the coronary sinus 14 partially encircles the mitral valve 12 adjacent to the mitral valve annulus 20. As is also known, the coronary sinus is part of the venous system of the heart and extends along the AV groove between the left atrium and the left ventricle. This places the coronary sinus essentially within the same plane as the mitral valve annulus making the coronary sinus available for placement of the mitral valve therapy device of the present invention therein.
- 20 [38] FIG. 2 shows a mitral valve therapy device 30 embodying the present invention shown deployed in the coronary sinus 14 of the heart 10 adjacent the mitral valve annulus 20 for effecting the geometry of the mitral valve annulus. The device 30 takes the form of an elongated body 32 which includes a distal anchor 34 and a proximal anchor 36.
- 25 [39] The anchors 34 and 36 are shown in FIG. 2 in their deployed configuration. A more complete description of the anchors 34 and 36 may be had in copending Application Serial No. 10/142,637, filed May 8, 2002 for BODY LUMEN DEVICE ANCHOR, DEVICE AND ASSEMBLY which is assigned to the assignee of the present invention and hereby incorporated herein by reference. In deploying the device 30 in the coronary sinus, the distal anchor 34 is first deployed to anchor the distal end of the device 30. In the anchoring process, the anchor 34 is expanded

outwardly to anchor the device in the coronary sinus against both bi-directional longitudinal and rotational movement. This allows the device 30 to be tightened within the coronary sinus by pulling of the device's proximal end. Then, the proximal anchor 36 is deployed. The device 30, which may be formed from Nitinol or 5 stainless steel, for example, now exerts an inward pressure on the mitral valve annulus 20 to advantageously effect its geometry.

[40] The device 30 along with its deployment system 50 is illustrated in FIG. 3. As shown, the device is in the process of being implanted in the coronary sinus 14 of the heart 10. Its proximal anchor 36 and distal anchor 34 have yet been 10 deployed. The deployment system 50 includes an elongated catheter 52, an elongated pusher 54, a coupling structural member 56 and a locking pin 58. As may be noted in FIG. 4, the proximal end of the device 30 includes a coupling loop 38. The pusher 54 is preferably an elongated coil having a center lumen 55. The coupling member 56 is formed from a cable that is provided with a loop 57. The legs 15 or ends 59 of the loop 57 extend proximally through the lumen 55 and out the proximal end of the pusher 54.

[41] The locking pin 58 also extends proximally out of the proximal end of the pusher 54. As shown in FIG. 4, the coupling loops 38 and 57 are aligned to overlap and the locking pin 58 is extended through the overlapping loops. This 20 causes the device 30 to be releasably locked to the pusher 54.

[42] In deploying the device 30, the catheter 52 is first fed into the coronary sinus 14 adjacent the mitral valve annulus 20. The device 30 and pusher 54 are then releasably locked together as shown in FIG. 4. The device is then loaded into the catheter 52. The pusher 54 follows the device into the catheter 52 and is then 25 advanced along the catheter to push the device 30 distally down the catheter to a predetermined position adjacent the mitral valve annulus 14 at the distal end of the catheter 52. Thereafter, the device is maintained in a stationary position by the pusher 54 as the catheter 52 is partially withdrawn to expose the distal anchor 34. Once the distal anchor 34 is exposed, it is deployed in a manner as fully described in 30 the aforementioned copending Application Serial No. 10/142,637. Once the distal anchor 34 is deployed, the catheter 50 is then retracted proximally of the proximal anchor 36. This exposes the proximal anchor 36. Once the proximal anchor is exposed, the pusher 54 is pulled proximally for tightening the device within the

coronary sinus and to an extent which results in the desired effect on the geometry of the mitral valve annulus 20. During this adjustment process, mitral regurgitation may be monitored and the device adjusted for optimal results. When the device 30 is in its final position within the coronary sinus 14, the proximal anchor 36 may then 5 be deployed. The beneficial effect of the device may now again be evaluated. Once the device is ready for chronic implant, the locking pin 58 may be pulled proximally from the proximal end of the pusher 54 as shown in **FIG. 5** to disengage the coupling members 38 and 56. With the pusher 54 now free from the device 30, the pusher 54, catheter 52, coupling member 56 and locking pin 58 may then be removed from 10 the heart.

15 [43] As can be appreciated by those skilled in the art, guide members, other than a guide catheter as shown herein, may be used to direct the device into the coronary sinus. For example, a guide wire, of the type well known in the art may alternatively be employed to guide the device there along into the coronary sinus without departing from the present invention.

20 [44] **FIGS. 6** and **7** illustrate the manner in which the device 30 may be removed from the coronary sinus 14 if necessary in accordance with further aspects of the present invention. As may be seen in **FIGS. 6** and **7**, the device 30 may be removed from the coronary sinus 14 with a retractor assembly 60. The retractor assembly includes the catheter 62, and a retractor 64 comprising an elongated coil 65 and a coupling member 66. The elongated coil 65 of the retractor 64 is essentially identical to the pusher 54 as illustrated in **FIGS. 3-5**. The coupling member 66 may be a cable which extends down the center lumen of the elongated coil 65 to form a loop structure 66 and which then returns through the center lumen 25 of the elongated coil 65 such that the free ends 69 of the cable 63 extend out the proximal end of the elongated coil 65. As also seen in **FIGS. 6** and **7**, if the device 30 is to be removed from the coronary sinus 14, the cable 63 is threaded into the elongated coil 65 to form the loop structure 66. With the retractor 64 thus formed, the retractor is then guided down the catheter 62 to the proximal end of the device 30 and more specifically to the coupling loop member 38 of the device 30. The loop 66 of the cable 63 is then wrapped about the loop coupling member 38 of the device 30 and the free ends 69 of the cable are drawn proximally to tighten the loop structure 66 about the loop coupling member 38. The retractor 64 now has a grip on

the device 30. With the device 30 now being firmly held by the retractor 64, the retractor 64 may be pulled proximally within the catheter 62 to impart proximal movement to the device 30. When the anchors 34 and 36 of the device 30 engage the distal end of the catheter 62, they will be collapsed to disengage from the coronary sinus. The device may now be removed by pulling on the retractor 64 proximally within the catheter 62 until the device is fully removed from the heart and the patient. Alternatively, the device may be drawn into the catheter. The catheter and the device may then be withdrawn together from the patient.

5 [45] FIGS. 8-10 illustrate a further embodiment of the present invention for releasably locking a pusher member to a mitral valve therapy device for implanting the mitral valve therapy device adjacent the mitral valve annulus within the coronary sinus of the heart.

10 [46] As illustrated in FIG. 8, the mitral valve therapy device 70 is elongated and includes a distal anchor 74 and a proximal anchor 76. The anchors are not yet deployed. The device 70 further includes, at its proximal end, a coupling structure 78.

15 [47] For deploying the device 70, a deployment system 90 is also illustrated. The deployment system includes a catheter 92, a pusher member 94, a coupling structure 96 at the distal end of the pusher 94, and a locking member 98. As will be best seen in FIG. 9, the coupling member 78 of the device 70 and the coupling member 96 of the pusher 94 form a pair of interlocking structures. The coupling structures 78 and 96 are tubular and the locking member 98 is also tubular.

20 [48] When it is desired to implant the device 70, the device 70 is coupled to the pusher 98 by the interlocking structures of the coupling members 78 and 96 which are held together and in place by the locking member 98. Then, as previously described in the previous embodiment, the device and pusher member are fed down the catheter 92 until the device reaches a desired position within the coronary sinus adjacent the mitral valve annulus 20. Once in this position, the device is held stationary by the pusher member 94 while the catheter 92 is retracted to expose the distal anchor 74. The distal anchor 74 may now be deployed in a manner as described in the aforementioned copending Application Serial No. 10/142,637. With the distal anchor 74 deployed, the catheter 92 is then retracted until it is proximal to the proximal anchor 76. The pusher 94 may then be pulled to tighten the device

within the coronary sinus. Once the device 70 has been tightened to a desired degree, as confirmed by device effectiveness evaluation, the device 70 is ready for chronic implant.

[49] When the device 70 is to be left within the coronary sinus 14, the tether 99 is pulled to slide the locking member 98 off of the interlocking structures 78 and 96. The coupling structures of the pusher 94 may be prestressed for disengaging the coupling structure 78 of the device 70 when the locking member 98 is pulled proximal to the interlocking structures. The device 70 is now free from the pusher member 94. The pusher member 94 together with the tether, locking member, and catheter 92 may be removed from the heart. With the implant of the device 70 completed, the device 70 is left within the coronary sinus adjacent the mitral valve annulus 20 to treat the mitral valve such as by eliminating mitral regurgitation.

[50] As illustrated in FIG. 10, the coupling structure 96 is prestressed to deflect outwardly when the tubular locking member 98 is pulled proximally to disengage the device 70 from the pusher 94. Alternatively, the coupling structure 96 may be prestressed inwardly with a locking pin (not shown) extending into coupling stricture 78 to maintain the locked arrangement. Here, proximal pulling of the pin would cause the coupling structure 96 to deflect inwardly to disengage the coupling structure 78 and 96.

[51] While particular embodiments of the present invention have been shown and described, modifications may be made, and it is therefore intended in the appended claims to cover all such changes and modifications which fall within the true spirit and scope of the invention.

What is claimed:

1. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

5 a mitral valve therapy device that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the mitral valve therapy device having a proximal end including a coupling structure;

10 a catheter having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart;

 a second coupling structure that is lockable on the device coupling structure; and

15 a locking member that locks the device coupling structure to the second coupling structure and that releases the device coupling structure from the second coupling structure.

2. The assembly of claim 1 further including a pusher member that pushes the device through the catheter lumen, the pusher member having a distal end that engages the device proximal end.

3. The assembly of claim 2 wherein the pusher member carries the second coupling structure at the distal end of the pusher member.

4. The assembly of claim 1 wherein the device coupling structure comprises a hoop structure.

5. The assembly of claim 4 wherein the second coupling structure comprises a hoop structure.

25 6. The assembly of claim 5 wherein the locking member comprises a pin that extends through the hoop structures to lock the coupling structures together and that is retractable to release the hoop structures.

7. The assembly of claim 6 wherein the catheter has a distal end and wherein the pin is elongated and extends through the distal end of the catheter.

30 8. The assembly of claim 6 further comprising a pusher member having a lumen and that pushes the device through the catheter lumen, the pusher member having a distal end that engages the device proximal end, a proximal end, and a

lumen, and wherein the pin is elongated and extends through the pusher member lumen and out the pusher member proximal end.

9. The assembly of claim 8 wherein the pusher member is an elongated coil.

10. The assembly of claim 1 wherein the device coupling structure and the
5 second coupling structure comprise a pair of interlocking structures and wherein the locking member comprises a slide-lock sheath closely fitted to the interlocking structures.

11. The assembly of claim 10 wherein the interlocking structures are formed from tubing and wherein the slide-lock sheath is tubular.

10 12. The assembly of claim 10 further including a pusher member that pushes the device through the catheter lumen, the pusher member having a distal end that engages the device proximal end, and wherein the pusher member carries the second coupling structure at the distal end of the pusher member.

15 13. The assembly of claim 12 wherein the locking member further comprises a tether that extends from the slide-lock sheath to and through the catheter lumen to permit the tether to pull proximally on the slide-lock sheath for releasing the interlocking structures.

20 14. The assembly of claim 1 further comprising a retractor configured to extend through the catheter lumen and grip the device coupler to permit retraction of the device through the catheter.

25 15. The assembly of claim 14 further comprising a pusher member configured to extend through the catheter lumen and that pushes the device through the catheter lumen, the pusher member having a lumen and a distal end adapted to engage the device proximal end, and wherein the retractor is configured to extend down the pusher lumen to engage the device coupler.

16. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve
30 annulus, the device means having a proximal end including a coupling means for coupling the device means;

catheter means having a lumen that directs the mitral valve therapy device into the coronary sinus of the heart;

second coupling means for locking with the device coupling means; and

locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

5 17. The assembly of claim 16 further comprising a pusher means for pushing the device means through the catheter means lumen, the pusher means having a lumen, and wherein the second coupling means and the locking means extend through the pusher means lumen.

10 18. The assembly of claim 16 wherein the device coupling means and the second coupling means comprise interlocking means for releasably locking the device coupling means and the second coupling means, and wherein the locking means includes retaining means for retaining the interlocking means in an interlocked condition.

15 19. The assembly of claim 18 wherein the retaining means is displaceable for releasing the interlocked condition of the interlocking means.

20 20. The assembly of claim 16 further comprising retracting means for engaging the device coupling means and removing the device means from the coronary sinus.

25 21. A method of effecting the condition of a mitral valve annulus of a heart, the method comprising the steps of:

feeding a catheter having a lumen into the coronary sinus of the heart;

aligning a mitral valve therapy device coupler of a mitral valve therapy device to a deployment member coupler;

locking the device coupler to the deployment member coupler with a locking member;

directing the mitral valve therapy device through the catheter lumen into the coronary sinus with the deployment member;

positioning the mitral valve therapy device in the coronary sinus with the deployment member;

releasing the locking member from the device coupler and the deployment member coupler;

removing the deployment member, the deployment member coupler and the locking member from the catheter lumen; and
removing the catheter from the coronary sinus.

22. The method of claim 21 comprising the additional steps of:

5 evaluating a condition of the mitral valve following the first recited removing step;

based upon results of the evaluation, feeding a device retractor through the catheter lumen;

capturing the device coupler with the retractor; and

10 removing the device from the coronary sinus through the catheter with the retractor.

23. A method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart, the method comprising the steps of:

feeding a catheter having a lumen into the coronary sinus of the heart;

15 locking the device to a deployment member with a locking member;

directing the mitral valve therapy device through the catheter lumen into the coronary sinus with the deployment member;

positioning the mitral valve therapy device in the coronary sinus with the deployment member;

20 releasing the locking member from the device and the deployment member coupler;

removing the deployment member and the locking member from the catheter lumen; and

removing the catheter from the coronary sinus.

25 24. The method of claim 23 comprising the additional steps of:

evaluating a condition of the mitral valve following the first recited removing step;

based upon results of the evaluation, feeding a device retractor through the catheter lumen;

30 capturing the device with the retractor; and

removing the device from the coronary sinus through the catheter with the retractor.

25. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

a mitral valve therapy device that reshapes the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the mitral valve therapy device having a proximal end including a coupling structure;

a guide member that directs the mitral valve therapy device into the coronary sinus of the heart;

10 a second coupling structure that is lockable on the device coupling structure; and

a locking member that locks the device coupling structure to the second coupling structure and that releases the device coupling structure from the second coupling structure.

26. An assembly for effecting the condition of a mitral valve annulus of a heart comprising:

device means for reshaping the mitral valve annulus of the heart when placed within the coronary sinus of the heart adjacent the mitral valve annulus, the device means having a proximal end including a coupling means for coupling the device means;

20 guide means for directing the mitral valve therapy device into the coronary sinus of the heart;

second coupling means for locking with the device coupling means; and

locking means for locking the device coupling means to the second coupling means and releasing the device coupling means from the second coupling means.

27. A method of implanting a mitral valve therapy device to effect the condition of a mitral valve annulus of a heart, the method comprising the steps of:

feeding a guide member into the coronary sinus of the heart;

30 locking the device to a deployment member with a locking member; directing the mitral valve therapy device along the guide member into the coronary sinus with the deployment member;

-
-
-
-
- positioning the mitral valve therapy device in the coronary sinus with the deployment member;
 - releasing the locking member from the device and the deployment member coupler; and
 - removing the deployment member, the locking member, and the guide member from the coronary sinus.

1/5

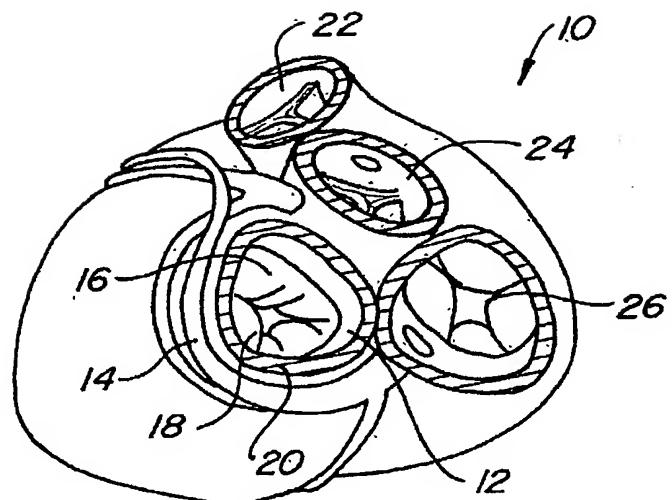


FIG. 1

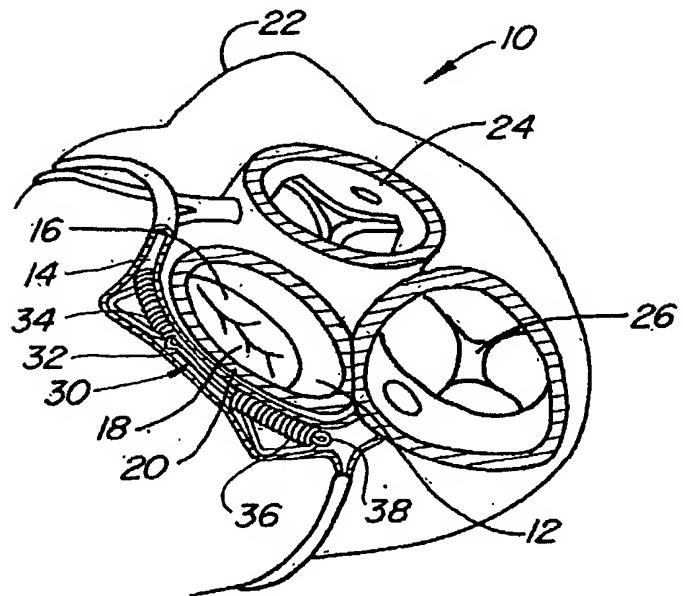


FIG. 2

2/5

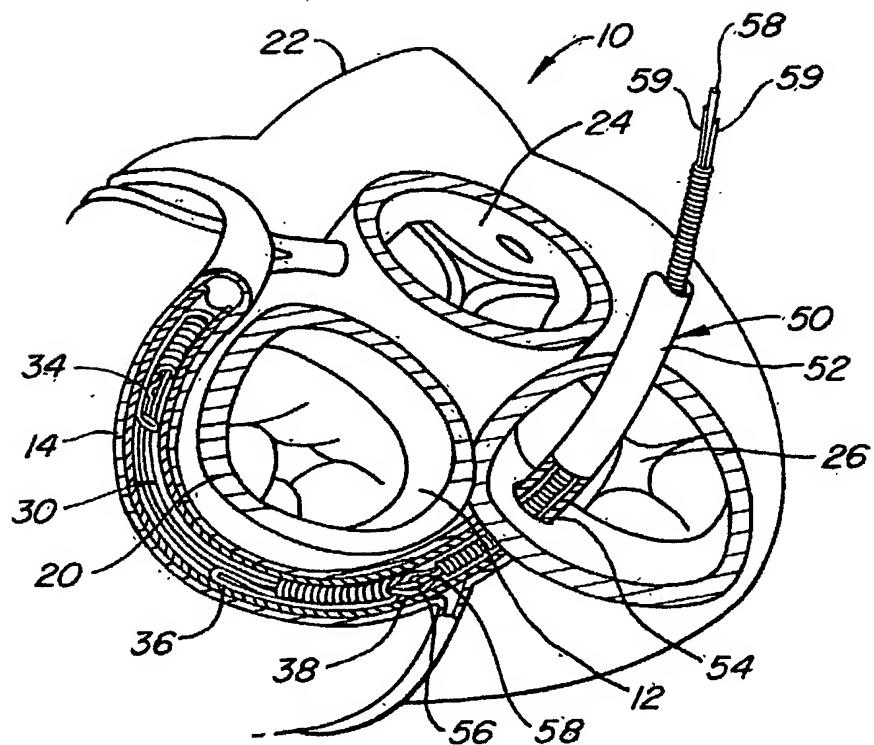


FIG. 3

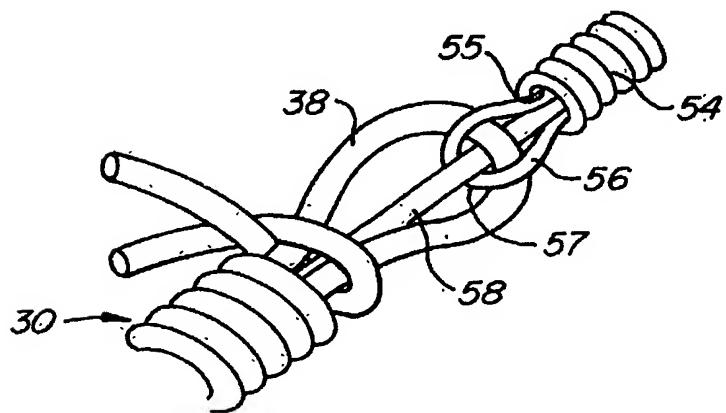
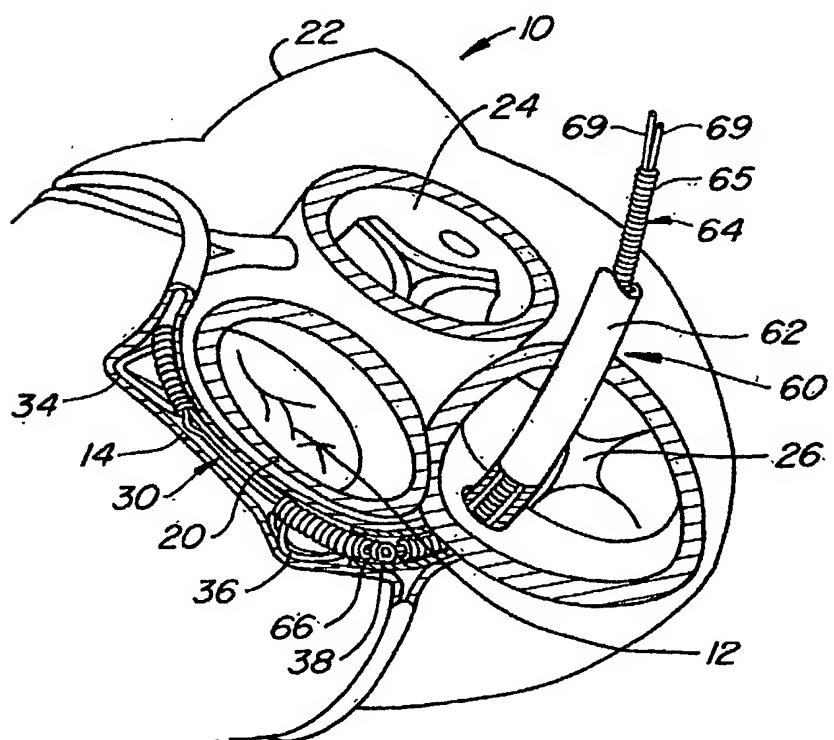
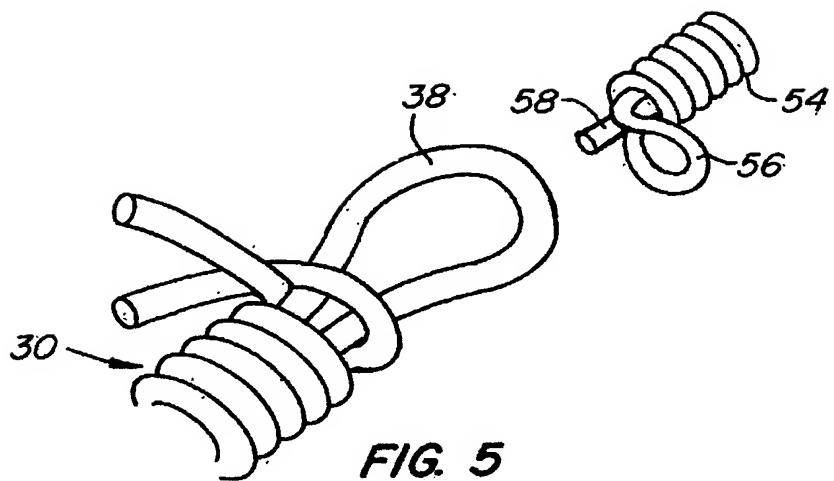


FIG. 4

3/5



4/5

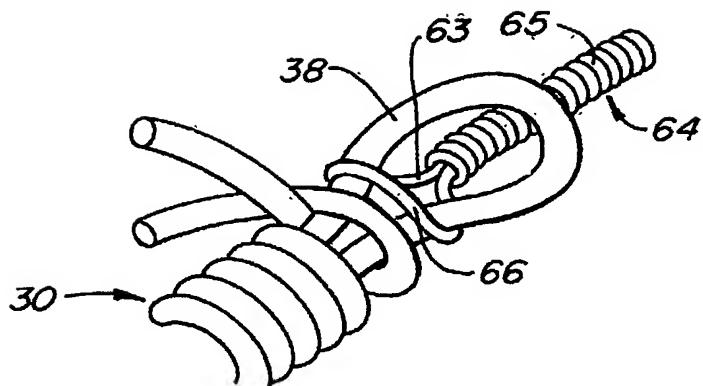


FIG. 7

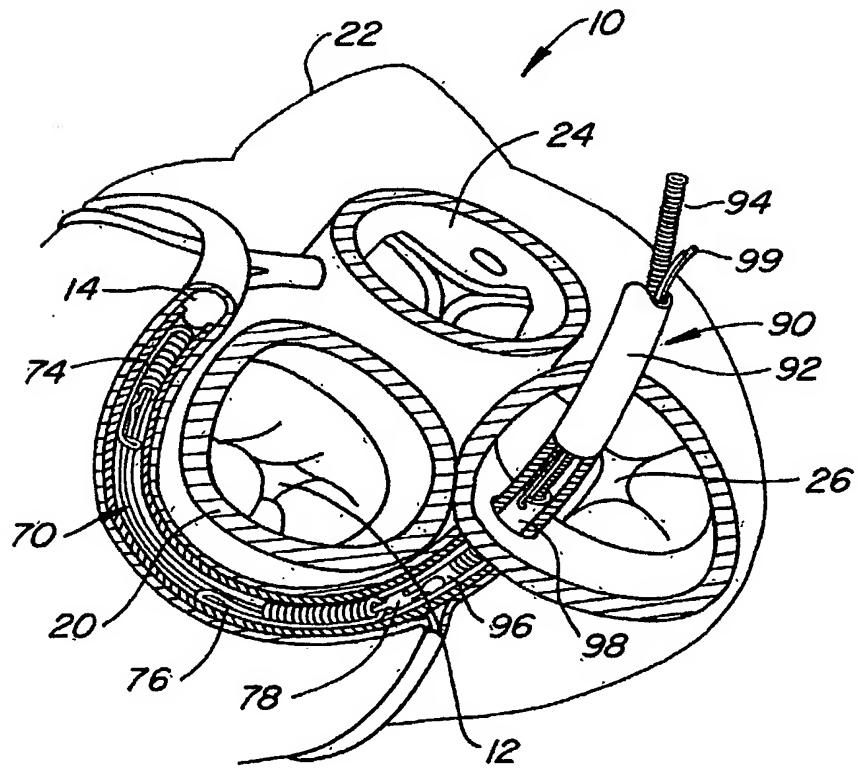


FIG. 8

5/5

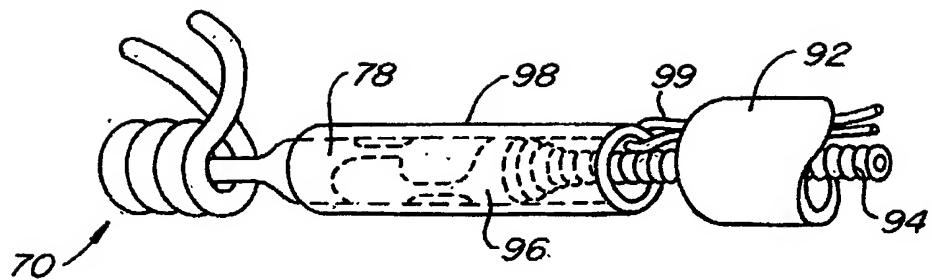


FIG. 9

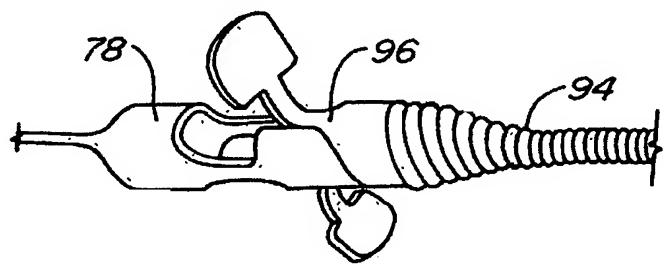


FIG. 10

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/41023

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61f 2/24
US CL : 623/2.36, 2.37, 2.38, 2.11

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
U.S. : 623/2.36, 2.37, 2.38, 2.11

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, Y	US 2003/0171776 A1 (ADAMS ET AL.) 11 September 2003 (11.09.03), See sections [0016-0050] and figures 1-9.	1-27
P, Y	US 2003/0212453 A1 (Mathis et al.) 13 November 2003 (13.11.2003), See sections [0019-0066].	1-27
P, Y	US 2003/0105520 A1 (Alferness et al.) 05 June 2003 (05.06.2003), See sections [0014-042].	1-27
P, Y	US 2003/0069636 A1 (Solem et al.) 10 April 2003 (10.04.2003), See sections [0012-0070].	1-27
Y	US 2002/0151961 A1 (Lashinski et al.) 17 October 2002 (17.10.2002), See sections [0074-0197].	1-27

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T"

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X"

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&"

document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

25 May 2004 (25.05.2004)

Sharon J. Greene for

Name and mailing address of the ISA/US

Authorized officer

Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Suzette J. Jackson

Faxsimile No. (703) 305-3230

Telephone No. 703-308-0000